



DIGITAL ASSET REGULATORY TRACKER

For the Investment Management Industry

June 13, 2023

A lot is happening in the world of digital assets regulation, and we know it is difficult to keep track of it all. That is why we built this digital assets regulatory tracker. Each month, we publish a list with links to digital asset-related materials issued by the SEC, CFTC and DOL. Please click [here](#) if you would like to sign up to receive this monthly tracker via email.

May 2023 Recap

SEC - 7 Entries

May 30, 2023

[Former Coinbase Manager and His Brother Agree to Settle Insider Trading Charges Relating to Crypto Asset Securities](#)

May 25, 2023

[In the Matter of BIGtoken, Inc. \(f/k/a Force Protection Video Equipment Corp.\)](#)

May 16, 2023

SEC Charges 10 Microcap Companies with Securities Offering Registration Violations

[Press Release](#)

[Order \(Graystone Company Inc.\)](#)

May 12, 2023

[Remarks at ICAEW Event – World-Class Regulation: Building Trust and Transparency in International Markets by Commissioner Mark T. Uyeda](#)

May 11, 2023

SEC Charges Operators of Dozens of Websites with Offering Fraud Involving Crypto Assets (Securities and Exchange Commission v. GA Investors, No. 1:23-cv-11050 (D. Mass. filed May 11, 2023))

[Litigation Release](#)

[Complaint](#)

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May 10, 2023

SEC Obtains Default Judgments Against Unregistered Brokers for Conducting a Fraudulent and Unregistered Offering of Crypto Asset Securities (Securities and Exchange Commission v. Chicago Crypto Capital LLC, et al., No. 1:22-cv-04975 (N.D. Ill. filed Sept. 14, 2022))

[Litigation Release](#)

[Judgment \(Brian B. Amoah\)](#)

[Judgment \(Elbert G. Elliott\)](#)

May 4, 2023

[In the Matter of the Registration Statement of AMERICAN CRYPTOFED DAO LLC](#)

CFTC - 12 Entries

May 31, 2023

[CFTC to Hold an Open Commission Meeting on June 7](#)

May 30, 2023

[Statement of Commissioner Kristin N. Johnson Calling for the CFTC to Initiate a Rulemaking Process for CFTC-Registered DCOs Engaged in Crypto or Digital Asset Clearing Activities](#)

May 30, 2023

[CFTC's Division of Clearing and Risk Issues Staff Advisory on the Risks Associated with Expansion of Derivatives Clearing Organization Clearing of Digital Assets](#)

May 25, 2023

[Commissioner Johnson to Participate on a Regulatory Panel at DigiAssets 2023](#)

May 25, 2023

[Commissioner Johnson to Deliver a Keynote Address on Financial Markets Regulation at the London School of Economics](#)

May 24, 2023

[Statement of Commissioner Kristin N. Johnson: Enforcement Action to Stop Bitcoin Fraud Targeting the Spanish-Speaking Community](#)

May 24, 2023

[CFTC Charges Five Defendants with Fraudulent Digital Assets Trading Scheme](#)

May 18, 2023

[Commissioner Mersinger to Participate in a Fireside Chat at the BattleFin Discovery Day New York Digital Assets – Regulatory Session](#)

May 15, 2023

[Commissioner Pham Announces Proposed Work Program for CFTC Global Markets Advisory Committee and Seeks Input by May 30](#)

May 3, 2023

[Commissioner Pham to Speak at California Digital Assets Week](#)

May 1, 2023

[Commissioner Pham to Speak at the 2023 Milken Institute Global Conference](#)

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May 1, 2023

[Chairman Behnam to Participate in a Fireside Chat at the Milken Institute's 2023 Global Conference](#)

DOL

No entries.

ARCHIVES

[April 2023](#)

[March 2023](#)

[February 2023](#)

[January 2023](#)

[December 2022](#)

[November 2022](#)

[October 2022](#)

[September 2022](#)

[August 2022](#)

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