

Shawn A. Hendricks

Partner

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Shawn Hendricks focuses his practice on counseling investment companies (including mutual funds, closed-end funds and exchange-traded funds), private funds, and investment advisers in connection with various regulatory, compliance and transactional issues. He provides analysis for investment management clients in all aspects of legal representation, including: preparing regulatory filings; drafting and reviewing registration statements and proxy solicitation materials; researching various securities and corporate law issues; and drafting corporate policies and compliance procedures.

Shawn received his J.D. from Rutgers School of Law – Newark, where he served as the Business Editor of the *Rutgers Law Review*, Vice President of the Tax Law Society, and a member of the Finance and Business Law Society. While pursuing his J.D., Shawn worked in the Asset Management department of Prudential Financial and interned at the Securities & Exchange Commission, Division of Enforcement.

RECOGNITIONS

- *The Best Lawyers in America*, Ones to Watch
- *The Legal Intelligencer*, Lawyers on the Fast Track
- Lawyers of Color, Hot 100

IN THE COMMUNITY

Shawn serves on the Board of Directors for the African American Museum in Philadelphia, PA. He is also on the advisory board for Drexel University's LeBow College of Business Build Relationships in Diverse Group Experiences (BRIDGE) Program, which is a program and learning community that supports underrepresented students navigate their college experience. Shawn also recently served on the Executive Committee of Justice Rising Advocates, which is a group of young professionals that fight for justice alongside Community Legal Services (CLS) and educate the legal community on the importance of their work.

FOCUS

Investment Management
Registered Investment Companies
Private Investment Funds
Exchange-Traded Funds (ETFs)
Investment Advisers

BAR ADMISSIONS

Pennsylvania
New Jersey
New York

EDUCATION

J.D., Rutgers School of Law – Newark
Dual B.S., Drexel University

MEMBERSHIPS

Community Legal Services
Stradley Ronon Diversity Committee
Board of Directors, African American Museum in Philadelphia (AAMP)
Advisory Board, Drexel University LeBow College of Business BRIDGE Program

