



DIGITAL ASSET REGULATORY TRACKER

For the Investment Management Industry

January 10, 2023

A lot is happening in the world of digital assets regulation, and we know it is difficult to keep track of it all. That is why we built this digital assets regulatory tracker. Each month, we publish a list with links to digital asset-related materials issued by the SEC, CFTC, and DOL. Please click [here](#) if you would like to sign up to receive this monthly tracker via email.

DECEMBER 2022

SEC

December 21, 2022

[SEC Charges Caroline Ellison and Gary Wang with Defrauding Investors in Crypto Asset Trading Platform FTX](#)

December 21, 2022

[SEC Charges Issuer, CEO, and Former CTO for \\$2.6 Million Unregistered Crypto Asset Securities Offering](#)

December 21, 2022

American CryptoFed DAO LLC

ALJ Orders: [AP-6893](#)

December 15, 2022

[SEC Charges Four Individuals in Crypto Pyramid Scheme That Targeted Spanish-Speaking Communities](#)

December 15, 2022

Order Directing Disbursement of Fair Fund in the matter of Paragon Coin, Inc.

Administrative proceeding: [34-96512](#)

December 14, 2022

[SEC Charges Four Individuals in Crypto Pyramid Scheme that Targeted Spanish-Speaking Communities](#)

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December 13, 2022

[SEC Charges Samuel Bankman-Fried with Defrauding Investors in Crypto Asset Trading Platform FTX](#)

December 12, 2022

[Statement on Arrest of Sam Bankman-Fried](#)

December 1, 2022

SEC Order Approving Plan of Distribution in the Matter of Paragon Coin, Inc.

Administrative proceedings: [34-96428](#)

CFTC

December 21, 2022

[Statement of Commissioner Summer K. Mersinger Regarding the Consent Orders of Judgment on Liability against Defendants Caroline Ellison and Zixiao “Gary” Wang](#)

December 21, 2022

[CFTC Charges Alameda CEO and Alameda and FTX Co-Founder with Fraud in Action Against Sam Bankman-Fried and his Companies](#)

December 13, 2022

[CFTC Charges Sam Bankman-Fried, FTX Trading and Alameda with Fraud and Material Misrepresentations](#)

December 3, 2022

[Commissioner Pham to Speak at the Harvard Law School Program on International Financial Systems 25th Annual Japan-U.S. Symposium](#)

December 1, 2022

[Statement of Commissioner Kristin N. Johnson Regarding CFTC Consent Order of \\$2.8 Million in Restitution for Virtual Currency Fraud](#)

December 1, 2022

[Federal Court Orders Rhode Island Man to Pay More than \\$2.8 Million in Restitution for Virtual Currency Fraud](#)

DOL

No entries.

ARCHIVES

[November 2022](#)

[October 2022](#)

[September 2022](#)

[August 2022](#)

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