



DIGITAL ASSET REGULATORY TRACKER

For the Investment Management Industry

August 16, 2023

A lot is happening in the world of digital assets regulation, and we know it is difficult to keep track of it all. That is why we built this digital assets regulatory tracker. Each month, we publish a list with links to digital asset-related materials issued by the SEC, CFTC and DOL. Please click [here](#) if you would like to sign up to receive this monthly tracker via email.

July 2023 Recap

SEC – 8 Entries

July 31, 2023

SEC Charges Hex Founder Richard Heart with Misappropriating Millions of Dollars of Investor Funds from Unregistered Crypto Asset Securities Offerings That Raised More Than \$1 Billion

[Press Release](#)

[Litigation Release](#)

[SEC Complaint](#)

July 27, 2023

[The Potential Pitfalls of Purported Crypto “Assurance” Work, by SEC Chief Accountant Paul Munter](#)

July 21, 2023

SEC Charges Quantstamp, Inc. in \$28.35 Million Initial Coin Offering

[Administrative Summary](#)

[Administrative Proceeding](#)

July 21, 2023

[In the Matter of the Registration Statement of AMERICAN CRYPTO FED DAO LLC](#)

July 19, 2023

[Testimony at Hearing before the Subcommittee on Financial Services and General Government U.S. Senate Appropriations Committee, by SEC Chair Gary Gensler](#)

continued on next page

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July 13, 2023

SEC Charges Celsius Network Limited and Founder Alex Mashinsky with Fraud and Unregistered Offer and Sale of Securities

[Press Release](#)

[Litigation Release](#)

[SEC Complaint](#)

July 12, 2023

[LBRY, Inc., New Hampshire Issuer of Crypto Asset Securities That Violated Registration Requirements Enjoined and Ordered to Pay Penalty](#)

July 10, 2023

[In the Matter of the Application of EYECITY.COM, INC. \(n/k/a CAREX BLOCKCHAIN PLATFORM, INC.\)](#)

CFTC – 18 Entries

July 26, 2023

[Statement of Commissioner Christy Goldsmith Romero: Improving the Review Process for New Products and Rules](#)

July 25, 2023

[CFTC Charges Tennessee Husband and Wife Realtors for Operating a \\$6 Million Digital Assets Commodity Pool Scheme](#)

July 19, 2023

[Commissioner Pham to Speak at Christie's Art + Tech Summit](#)

July 18, 2023

[Opening Statement of Commissioner Christy Goldsmith Romero at the Technology Advisory Committee on Responsible AI in Financial Services, DAOs and Other DeFi & Cyber Resilience](#)

July 18, 2023

[Opening Statement of Commissioner Kristin N. Johnson: Measuring Benefits and Mitigating the Risks of Integrating Artificial Intelligence](#)

July 18, 2023

[Statement by Commissioner Summer K. Mersinger before the Technology Advisory Committee Meeting](#)

July 18, 2023

[Commissioner Goldsmith Romero Announces July 18 Technology Advisory Committee Meeting](#)

July 17, 2023

[Commissioner Pham Announces CFTC Global Markets Advisory Committee Meeting on July 17](#)

July 14, 2023

[Commissioner Goldsmith Romero Announces Agenda for July 18, 2023, Technology Advisory Committee Meeting Addressing Responsible Artificial Intelligence, Decentralized Finance \(including DAOs\), and Cyber Resilience](#)

July 14, 2023

[Commissioner Goldsmith Romero Announces Technology Advisory Committee \(TAC\) Subcommittee Co-Chairs and Members](#)

continued on next page

July 13, 2023

[Statement of Commissioner Kristin N. Johnson: Taking Action to Prevent Fraud By Digital Asset Services Firms](#)

July 13, 2023

[The Global Markets Advisory Committee Announces Agenda for July 17 Meeting](#)

July 13, 2023

[CFTC Charges Alexander Mashinsky and Celsius Network, LLC with Fraud and Material Misrepresentations in Massive Commodity Pool Scheme Involving Digital Asset Commodities](#)

July 12, 2023

[Federal Court Orders Digital Asset Derivatives Platform and Florida Resident to Pay More than \\$15 Million for Multiple Violations of the Commodity Exchange Act](#)

July 10, 2023

[Opening Statement of Commissioner Kristin N. Johnson before the Market Risk Advisory Committee](#)

July 10, 2023

[The Market Risk Advisory Committee to Meet on July 10](#)

July 6, 2023

[Statement of Commissioner Kristin N. Johnson Supporting Enforcement Action Against Bitcoin Fraud](#)

July 6, 2023

[CFTC Charges Alleged Bitcoin Seller and Former Attorney with Multi-Million Dollar Bitcoin Fraud, Imposes Over \\$5 Million in Restitution](#)

DOL

No entries.

ARCHIVES

[June 2023](#)

[May 2023](#)

[April 2023](#)

[March 2023](#)

[February 2023](#)

[January 2023](#)

[December 2022](#)

[November 2022](#)

[October 2022](#)

[September 2022](#)

[August 2022](#)

continued on next page

For more information, contact:



[Susan Gault-Brown](#)
Chair, Fintech, Co-Chair, Derivatives & Commodities
202.507.5171 | sgault-brown@stradley.com



[Katrina L. Berishaj](#)
Co-Chair, Fiduciary Governance
202.507.5179 | kberishaj@stradley.com



[Michael N. Amugo](#)
Associate
215.564.8705 | mamugo@stradley.com



[Zack Bradley](#)
Associate
215.564.8157 | zbradley@stradley.com



[Wesley Davis](#)
Associate
215.564.8640 | wdavis@stradley.com



[Geena Marzouca](#)
Associate
202.507.6408 | gmarzouca@stradley.com