

Daniel C. Knox

Counsel

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Daniel Knox focuses his practice on the negotiation of derivatives documentation both for investment management companies and in relation to commercial loan transactions. He counsels clients with respect to the Dodd–Frank Wall Street Reform and Consumer Protection Act and the regulations promulgated thereunder and has extensive experience representing clients on Regulation D private placements with accredited investors. Additionally, he has insurance regulatory experience and has been called upon to assist clients with filings required by state insurance departments.

RESULTS

- negotiated enterprise-wide ISDA agreements, forward transaction agreements and repurchase agreements for a nationally recognized investment adviser
- represented a mutual complex in the negotiation of various central clearing and swap execution facility agreements as required by the Dodd-Frank Wall Street Reform and Consumer Protection Act
- represented multiple private funds in connection with formation, service provider agreements, capital raising efforts and regulatory filings
- represented an environmental testing and research provider in the negotiation of multiple interest rate swap transactions entered into in connection with a revolving credit facility
- represented a fixed-income mutual fund complex in the negotiation and documentation of transactions in distressed loan positions
- represented a start-up outpatient surgery center with respect to its formation and private placement offering
- worked on a team which prepared a registration statement related to the initial public offering of common stock of a fast-growing regional bank
- represented a nationally recognized insurance holding company with respect to state regulatory filings required as a result of the insurer's acquisition of a benefit management company
- obtained the necessary approvals from the Pennsylvania Insurance Department with respect to the merger of two insurance companies

FOCUS

Financial Services
Derivatives & Commodities
Structured Finance
Corporate & Securities
Insurance Transactional & Regulatory Solutions
Fintech

BAR ADMISSIONS

Pennsylvania
New Jersey
New York

COURTS

U.S. District Court for the Eastern District of Pennsylvania
U.S. District Court for the District of New Jersey

EDUCATION

J.D., *cum laude*, Rutgers University
School of Law - Camden
M.B.A., *with distinction*, Rider University
B.S., University of Delaware

MEMBERSHIPS

Pennsylvania Bar Association
Philharmonic of Southern New Jersey Inc.



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- represented several startup companies in their commencement of operations and initial capital raising efforts

Prior to joining Stradley Ronon, Dan was an accountant with a large investment bank.

IN THE COMMUNITY

Dan is a member of the board of directors of the Philharmonic of Southern New Jersey, an 80-piece orchestra and the largest all-volunteer orchestra in the region.

