

# Katrina L. Berishaj

## Co-Chair, Fiduciary Governance

Washington, DC

202.507.5179

kberishaj@stradley.com



Katrina Berishaj advises financial services clients, including banks, trust companies, broker-dealers, investment advisers, insurance companies and institutional investors, on issues arising under the fiduciary and prohibited transaction rules of the Employee Retirement Income Security Act (ERISA) and the Internal Revenue Code, with respect to financial products, services and transactions. She assists corporate and governmental retirement plan sponsors on a broad range of issues concerning their fiduciary and non-fiduciary responsibilities. Katrina also advises on qualified and nonqualified retirement plans and executive and equity compensation arrangements.

Prior to joining Stradley Ronon, Katrina served as associate general counsel at a leading diversified financial services company. She also worked at another multinational law firm, where she represented financial institutions and institutional investors.

### RESULTS

Katrina's experience includes the representation of:

- **Clincierge**, a clinical trial concierge services provider, in an acquisition by Greenphire, an innovator of software solutions for clinical trials backed by one of the largest software investors in the world
- **Pacific Life** in the sale of its third-party credit asset management firm, Pacific Asset Management, LLC, which at closing managed over \$20 billion, to Aristotle Capital Management, LLC
- **Berkshire Asset Management (BAM)** in its sale of a minority stake to iM Global Partner, a leading global asset management network
- a boutique alternative investment firm focused exclusively on the closed-end fund market, with restructuring a master-feeder hedge fund to add provisions for ERISA investors

### SPEAKING ENGAGEMENTS

- Presenter, "Financial Services Regulatory Workshop," Stradley Ronon CLE

### FOCUS

Investment Management  
Employee Benefits  
Fiduciary Governance  
Financial Services  
Alternative Funds  
Broker-Dealer

### BAR ADMISSIONS

District of Columbia  
Maryland

### EDUCATION

J.D., Georgetown University Law Center  
B.A., with high distinction, University of Michigan

### MEMBERSHIPS

Leadership Council on Legal Diversity  
2021 Class of Pathfinders



## PUBLICATIONS

- Co-author, "What to Consider When Offering a Brokerage Window," *Employee Benefit Plan Review*
- Author, "Fiduciary Considerations: The Qualified Default Investment Alternative," Franklin Templeton
- Author, "Ensuring Governance Structures Supporting Retirement Plans Are Sound," *Employee Benefit Plan Review*
- Co-author, "Delegating Grantmaking Authority," *Employee Benefit Plan Review*
- Co-author, "Secure 2.0 Act: Auto-Portability," *Employee Benefit Plan Review*
- Author, "Family-Building Benefits," *Employee Benefit Plan Review*
- Author, "Reductions in Force: COBRA and Internal Revenue Considerations," *Employee Benefit Plan Review*