

Joshua D. Borneman

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Joshua D. Borneman focuses his practice on counseling investment companies, including mutual funds, private funds, closed-end funds and exchange-traded funds, and investment advisers on regulatory, compliance, and transactional issues. He advises investment management clients in all aspects of legal representation, including drafting and reviewing registration statements, proxy solicitation materials, and other regulatory filings; researching various securities and corporate law issues; and preparing board materials.

Josh graduated, *cum laude*, from The Catholic University of America Columbus School of Law, where he was a Securities Law Program Certificate Recipient. While in law school, Josh was a lead articles editor for the *CUA Journal of Law and Technology* and interned with the U.S. Securities and Exchange Commission's Division of Investment Management. He earned his B.A. from the University of Maryland.

PUBLICATIONS

- Co-author, "10 Things...to Consider in Oversight of the Fair Value Process," *Fund Board Views*
- Co-author, "Practical Guidance for Fund Directors on Valuation Oversight," Mutual Fund Directors Forum and Stradley Ronon

FOCUS

Investment Management

BAR ADMISSIONS

District of Columbia

EDUCATION

J.D., *cum laude*, The Catholic University of America Columbus School of Law

B.A., University of Maryland

