

## Joel D. Corriero

### Partner

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Joel Corriero primarily counsels investment companies, including exchange-traded funds (ETFs), mutual funds, variable insurance funds and closed-end funds, and investment advisers on regulatory and compliance matters. For investment companies, particularly ETFs, Joel advises on all aspects of the representation, including creation, listing, and continued compliance with both the federal securities laws and exchange listing rules. He is also experienced in the negotiation of authorized participant agreements for transactions in ETF shares.

In addition, Joel drafts and reviews critical fund documents, such as registration statements, proxy solicitation materials, and exchange listing applications. He also counsels on transactional matters, such as mergers and acquisitions, and has experience drafting and negotiating various agreements for investment companies and investment advisers.

### RESULTS

- assisted in the representation of one of the firm's largest investment fund clients (and one of the top 20 fund groups in the U.S.) on two transformational acquisitions, including the acquisition of an ETF business, involving the reorganization of 67 ETFs into the client's platform, and the acquisition of an asset management business with 104 mutual funds and ETFs, as well as collective trusts, UCITS, separate accounts and private accounts, totaling approximately \$250 billion
- assisted one of the world's largest asset managers with the launch of its first suite of strategic beta and active ETFs
- assisted in the representation of an investment adviser, which sponsored a suite of smart beta ETFs focused on emerging markets, with the sale of its business to a global asset management firm
- assisted with the launch of several alternative investment mutual funds for one of the leading global providers of alternative investments, including matters related to registration statement review and compliance, and the drafting of complex strategy and risk disclosure

### SPEAKING ENGAGEMENTS

- Presenter, "How the New ETF Rule Changes the Regulatory Landscape," Stradley Ronon Webcast

### FOCUS

Investment Management  
Registered Investment Companies  
Exchange-Traded Funds (ETFs)  
Closed-end Funds  
Investment Advisers  
Insurance Products

### BAR ADMISSIONS

Pennsylvania  
New Jersey

### COURTS

U.S. District Court for the Eastern District of Pennsylvania

### EDUCATION

J.D., *magna cum laude*, Widener University School of Law  
B.A., *cum laude*, Rutgers University

