

Don E. Felice

Counsel

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Don Felice focuses his practice on matters relating to the regulation of investment companies, investment advisers and their service providers as well as independent legal representation for mutual fund boards of directors and trustees. His work includes preparation and filing of complex proxy statements, preparation and filing of exemptive applications, negotiation of service contracts, review and preparation of registration statements, review and preparation of materials for board meetings, and research and counseling clients on various issues related to the Investment Company Act of 1940.

Prior to joining Stradley Ronon, Don was with another large New Jersey-based law firm where he represented investment companies and investment advisers in regulatory, corporate and transactional matters. He also previously served as a law clerk for the Honorable Robert A. Latrone in the First Judicial District of Pennsylvania. Prior to law school, Don worked on the design and development of the Magic: The Gathering card game.

RESULTS

- managed mergers involving investment companies and investment advisers
- handled day-to-day compliance issues for multi-manager fund complex
- obtained manager-of-manager exemptive relief for multi-manager fund complex
- drafted registration statements and other disclosure documents
- responded to SEC staff examinations
- drafting and negotiating service provider contracts

IN THE COMMUNITY

Don volunteers as a photographer for the University of Pennsylvania Athletic Department.

FOCUS

Investment Management

BAR ADMISSIONS

Pennsylvania

New Jersey

EDUCATION

J.D., *cum laude*, Temple University
Beasley School of Law

B.A., University of Pennsylvania

MEMBERSHIPS

Philadelphia Bar Association

