

## David R. Garton

### Associate

Philadelphia, PA

215.564.8721

[dgarton@stradley.com](mailto:dgarton@stradley.com)



As a member of the firm's leading investment management practice, David Garton regularly provides registered investment companies and financial institutions with guidance on a wide range of legal matters, including regulatory, compliance and transactional issues.

Prior to joining Stradley Ronon, Dave was a legal intern at an industry-leading broker-dealer. In this role, his responsibilities included legal research for financial compliance, privacy compliance and litigation teams; presenting legal memoranda; implementing compliance projects; reviewing client disclosure documents; and tracking state insurance law issues.

### PUBLICATIONS

- Co-author, "Reducing Costs," *Employee Benefit Plan Review*

### RECOGNITIONS

- Kohn Prize for Exceptional Transactional Negotiating Skills, Temple University Beasley School of Law

### FOCUS

Investment Management

### BAR ADMISSIONS

Pennsylvania

### EDUCATION

J.D., Temple University Beasley School of Law

B.A., *magna cum laude*, Saint Joseph's University

