

George Michael Gerstein

Co-Chair, Fiduciary Governance and Environmental, Social & Governance

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George Michael Gerstein advises financial institutions and plan sponsors on a broad range of issues related to Title I of ERISA, including fiduciary responsibility and prohibited transaction issues in connection with plan investments and related products. Specifically, he has experience with numerous asset classes and investment products, including foreign exchange, futures and other derivatives, and stable value funds. Mr. Gerstein has previous in-house experience at State Street Bank and Trust Company — State Global Markets and at Deutsche Bank — Global Prime Finance division.

SPEAKING ENGAGEMENTS

- Panelist, “Considering ESG Factors in Investment Selection,” PLANSPONSOR Webinar
- Panelist, “ESG, Proxy Voting and Engagement – Where DOL and SEC Regulation has Been and Where it May be Going,” Mutual Fund Directors Forum
- Speaker, “Beyond the Boardroom,” The Activist Insight Podcast
- Panelist, “Are 401(k) funds an opportunity private equity funds should pursue?” Stradley Ronon and Grant Thornton Webcast
- Panelist, “ESG Regulatory Lens – A Guide for Private Fund Managers,” Stradley Ronon and Silver Regulatory Associates Private Fund ESG Webcast
- Panelist, “ERISA: Now and into the Future,” National Society of Compliance Professionals’ National Conference
- Panelist, “ESG Investing Through the Lens of a Fiduciary,” Boston BASIC
- Panelist, “Navigating the Demand for Environmental, Social and Governance (ESG) Policies,” ACA Compliance Group’s Fall Compliance Conference
- Panelist, “A GPS for Accelerating Entry into the China Market,” Pensions & Investments Investing in China’s Future Conference
- Presenter, Association of Institutional INVESTORS
- Presenter, Chicago Chief Compliance Officer Roundtable

FOCUS

Investment Management
Institutional Investors
Employee Benefits
Common & Collective Trust Funds
Fiduciary Governance
Environmental, Social & Governance
Cryptocurrency & Blockchain

BAR ADMISSIONS

District of Columbia
Massachusetts
Pennsylvania

EDUCATION

J.D., *cum laude*, University of Pennsylvania Law School
Certification in Business and Public Policy, The Wharton School of the University of Pennsylvania
B.A., *summa cum laude*, Dickinson College



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- Panelist, “The SEC the DOL and the States: A New Fiduciary World,” Investment Company Institute’s General Membership Meeting
- Panelist, “Fiduciary Governance,” ACA Compliance Group’s Spring Compliance and Performance Conference
- Presenter and Moderator, “ESG Considerations for ERISA Fiduciaries,” Fi360 National Conference
- Panelist, “What You Don’t Know Can Hurt You: Governance and the Evolving Role of DC Plan Sponsor as Fiduciary,” Pensions & Investments 401(k)/403(b) Investment Lineup Conference
- Presenter, DOL Fiduciary Operational Group of the American Bankers Association
- Panelist, “Regulatory/Litigation Update,” Best of PLANSPONSOR National Conference
- Presenter, “DOL Fiduciary Rule and State Fiduciary Law Developments,” Stradley Ronon’s Investment Management Group Regulatory Update CLE Seminar
- Speaker, “The DOL, the SEC and the States: Fiduciary Law Developments,” Stradley Ronon Briefing on Fiduciary Law Developments
- Speaker, “Environmental, Social, and Governance (ESG) for Compliance Officers,” ACA Compliance Group Compliance Conference
- Panelist, “The DOL Fiduciary Rule: Done Deal or More to Come?” Institutional Investors Roundtable for Consultants and Institutional Investors
- Panelist, “DOL Rule and Litigation Update Panel,” AllianceBernstein Retirement Solutions Forum
- Presenter, DOL Fiduciary Operational Group of the American Bankers Association
- Presenter, “Emerging Trends in the Asset Management Industry,” The Chicago Bar Association’s Securities Law Committee
- Presenter, “US Department of Labor’s Fiduciary Rule and Similar State Law Developments,” American Bankers Association
- Panelist, “DOL Fiduciary Rule,” Association of Institutional INVESTORS’ Market Practices Council Roundtable and Reception
- Speaker, “DOL Fiduciary Rule Compliance Strategies & Action Items – June 9 and Beyond,” Stradley Ronon Investment Management Briefing
- Speaker, “DOL Proposed Delay of the Controversial Fiduciary Rule and Next Steps,” Stradley Ronon Investment Management Briefing

