

Shawn A. Hendricks

Associate

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Shawn Hendricks focuses his practice on counseling investment companies (including mutual funds, closed-end funds, and exchange-traded funds), private funds, and investment advisers in connection with various regulatory, compliance and transactional issues. He provides analysis for investment management clients in all aspects of legal representation, including: preparing regulatory filings; drafting and reviewing registration statements and proxy solicitation materials; researching various securities and corporate law issues; and drafting corporate policies and compliance procedures.

Shawn received his J.D. from Rutgers School of Law – Newark, where he served as the Business Editor of the *Rutgers Law Review*, Vice President of the Tax Law Society, and a member of the Finance and Business Law Society. While pursuing his J.D., Shawn worked in the Asset Management department of Prudential Financial and interned at the Securities & Exchange Commission, Division of Enforcement.

FOCUS

Investment Management
Registered Investment Companies
Private Investment Funds
Exchange-Traded Funds (ETFs)
Investment Advisers

BAR ADMISSIONS

Pennsylvania
New Jersey

EDUCATION

J.D., Rutgers School of Law – Newark
Dual B.S., Drexel University

MEMBERSHIPS

Executive Committee, Justice Rising Advocates
Stradley Ronon Diversity Committee
African American Museum in Philadelphia (AAMP) Board of Directors

