

# Stephen LaChine

## Associate

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Stephen LaChine focuses his practice on counseling investment companies including mutual funds, private funds, closed-end funds and exchange-traded funds, and investment advisers on regulatory, compliance, and transactional issues. He advises investment management clients in all aspects of legal representation, including drafting and reviewing registration statements, proxy solicitation materials, and other regulatory filings; researching various securities and corporate law issues; and preparing board materials.

Stephen graduated *summa cum laude* from DePaul University College of Law, where he was a member of the *DePaul Business and Commercial Law Journal*. While in law school, he externed for the Hon. James M. McGing of the Circuit Court of Cook County. Prior to law school, Stephen worked as an auditor at Codilis and Associates, P.C. in Illinois. Stephen received his B.S. in finance from DePaul University, where he graduated *magna cum laude*.

## PUBLICATIONS

- Co-author, "2021 CPO/CTA Highlights from NFA," *Futures & Derivatives Law Report*

## FOCUS

Investment Management

## BAR ADMISSIONS

Illinois

## EDUCATION

J.D., *summa cum laude*, DePaul University College of Law, Order of the Coif

B.S., *magna cum laude*, DePaul University

## MEMBERSHIPS

Chicago Bar Association

