

# Joe N. Nguyen

## Partner

Philadelphia, PA

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Joe Nguyen concentrates his litigation practice on representing financial services institutions in consumer-related matters, including class-action defense and single plaintiff suits. He has significant first-chair trial experience and has litigated cases before state and federal courts nationwide, as well as before the American Arbitration Association and the Financial Industry Regulatory Authority.

Prior to joining Stradley Ronon, Joe was Vice President and Assistant General Counsel at Ocwen Financial Corporation, one of the country's largest mortgage providers. At Ocwen, he managed a team of lawyers and paraprofessionals, was lead in-house counsel for the company's nationwide class action portfolio, and was responsible for the day-to-day strategic management of significant borrower litigation, including class actions and high-exposure single borrower matters. Joe also advised executive leadership on legal issues and risk management, provided regulatory and compliance advice to internal business clients, and managed internal and government investigations.

Previous to his role as a senior in-house lawyer, Joe practiced at a large international law firm, prosecuted criminal trials at the Office of the Philadelphia District Attorney, and was a judicial extern for the Chief Judge of the Western District of Pennsylvania.

## RESULTS

- obtained complete dismissal of nationwide class action; *Bantum v. MERS*, No. 11-4431 (E.D. Pa. 2012)
- obtained summary judgment in real estate matter; *Topper v. Fannie Mae*, No. 10-7266 (Pa. Com. Pl. 2012)
- successfully moved to enforce arbitration agreement and class action waiver in putative class action involving lender placed insurance; *Ulbrich v. GMAC Mortg.*, No. 11-62424 (S.D.N.Y. 2012)
- obtained summary judgment in indenture trustee liability case; *Becker v. U.S. Bank*, 2011 WL 3875575 (E.D. Pa. 2011)
- obtained judgment after bench trial in lender liability case; *Dicostanzo v. CCO Mortg.*, No. 1104036517 (Phila. C.P. 2011)
- assisted in obtaining dismissal in UCC class action; *Hudson v. Eaglemark Sav. Bank*, 2011 WL 1755540 (E.D. Pa. 2011)

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## FOCUS

Complex Commercial & Class Action Litigation  
Financial Services Litigation  
Litigation  
White-Collar Defense, Internal Investigations & Corporate Compliance

## BAR ADMISSIONS

Pennsylvania

## COURTS

U.S. Court of Appeals for the Third Circuit  
U.S. District Court for the Eastern District of Pennsylvania  
U.S. District Court for the Middle District of Pennsylvania  
U.S. District Court for the Western District of Pennsylvania

## EDUCATION

J.D., *magna cum laude*, University of Pittsburgh School of Law  
B.A., Franklin & Marshall College

## MEMBERSHIPS

Pennsylvania Bar Association  
National Asian Pacific American Bar Association  
National Conference of Vietnamese American Attorneys  
Stradley Ronon Diversity Committee

## LANGUAGES

Vietnamese



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- obtained judgment after bench trial in lender liability case; *Meekins v. HSBC*, No. 080701801 (Phila. C.P. 2011)
- obtained summary judgment in breach of contract case; *Parisi v. Wells Fargo*, 2011 WL 6339835, 2011 WL 6370060 (M.D. Pa. 2011)
- obtained summary judgment in AAA arbitration; *Roth v. Barclays*, No. 14430069910 (AAA 2011)
- obtained judgment on the pleadings in TILA action; *Sherzer v. Homestar Mortg.*, 2011 WL 5075075, 2011 WL 5075086 (E.D. Pa. 2011)
- obtained summary judgment in a \$1 million TILA case; *Azur v. Chase Bank*, No. 06-cv-1088 (W.D. Pa. 2009), affirmed 601 F.3d 212 (3d Cir. 2010)
- obtained judgment in UCC Article 4A case; *Jewish Heritage Prgms. v. Bank of America*, No. 10-1369 (E.D. Pa. 2010)
- obtained dismissal in lender liability case after conducting AAA arbitration hearing; *Kiessler v. Am. Bankers Life*, No. 08-1600, 2008 WL 5248246 (M.D. Pa. 2010)
- obtained dismissal of a \$1 million civil RICO case; *Guy's Mechanical Inc. v. Bank of America*, No. 07-80 (W.D. Pa. 2008), affirmed 2009 WL 1803255 (3d Cir. 2009)
- during jury trial, obtained judgment under Rule 50 on essentially all of the plaintiff's claims for damages; *Wise v. AmeriCredit*, No. 09-102 (E.D. Pa. 2009)

## SPEAKING ENGAGEMENTS

- Presenter, "Navigating the Minefield: Hot Issues in Mortgage Litigation and Compliance," Pennsylvania Bar Institute Real Estate CLE
- Panelist, "Leading with Emotional Intelligence" 8th Annual Philadelphia Diversity & Leadership Conference
- Presenter, "Mastering Foreclosure Techniques and MERS," Rossdale CLE Seminar
- Panelist, "Protecting Privileged Communications in Business Transactions and Investigations," Association of Corporate Counsel, Greater Philadelphia Chapter
- Panelist, Pennsylvania Supreme Court Judicial Candidates Debate
- Speaker, "Autopsy of a Distressed Real Estate Loan," National Conference of Vietnamese American Attorneys

## RECOGNITION

- *HousingWire*, Rising Star
- *The Legal Intelligencer*, "Lawyers on the Fast Track"
- *Pennsylvania Super Lawyers*, Rising Star
- Philadelphia Diversity and Leadership Conference, Leadership Excellence Award



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## IN THE COMMUNITY

Joe previously volunteered with Philadelphia Reads, a program of the Philadelphia Mayor's office that helps inner-city youth improve their reading skills. He also volunteered for Minority Law Day, an annual Pennsylvania Bar Association program designed to introduce inner-city high school students to the practice of law and encourage them to consider pursuing a career in the legal profession.

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