

## Kevin O'Connell

### Associate

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Kevin O'Connell\* counsels investment companies and investment advisers on a broad range of regulatory, compliance and transactional matters.

Before joining Stradley Ronon, Kevin was an associate principal investigator for the Market Investigations Team at Financial Industry Regulatory Authority (FINRA). Prior to working at FINRA, Kevin interned at the U.S. Securities & Exchange Commission (SEC), London Stock Exchange Group and GamblingCompliance.

Kevin received his J.D. from the Catholic University of America, Columbus School of Law. During law school, Kevin served as managing editor of Catholic University's *Journal of Law and Technology* and was also a member of the Securities Law Program. He earned his B.S. in business management from the University of Arkansas.

\*Mr. O'Connell is only admitted to the California bar, and his work is being supervised by Sara Crovitz (D.C. Bar #449200).

### PUBLICATIONS\*

- Author, "Has Regulation Affected the High Frequency Trading Market," Catholic University *Journal of Law and Technology*

\*Includes publications prior to joining Stradley Ronon.

### FOCUS

Investment Management

### BAR ADMISSIONS

California

### EDUCATION

J.D., The Catholic University of America  
Columbus School of Law

B.S., University of Arkansas

