

Jessica Patrick

Associate

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Jessica Patrick focuses her practice on counseling investment companies (including mutual funds, closed-end funds and exchange-traded funds), private funds and investment advisers in connection with various regulatory, compliance and transactional matters. Jessica assists in the representation of investment management clients in all aspects of legal representation including:

- preparing regulatory filings; drafting corporate policies and compliance procedures
- drafting and reviewing registration statements and proxy solicitation materials
- researching, securities, commodities and corporate law issues
- preparing SEC exemptive applications
- advising on compliance with blue sky filing requirements
- assisting in advising boards of directors on issues arising under the Investment Advisers Act of 1940 and the Investment Company Act of 1940, as well as various governance issues.

FOCUS

Investment Management
Registered Investment Companies
Investment Advisers
Exchange-Traded Funds
Private Investment Funds
Independent Trustee Counsel

BAR ADMISSIONS

District of Columbia
Virginia

EDUCATION

J.D., *cum laude*, American University
Washington College of Law
B.S., with high honors, University of
Maryland College Park

MEMBERSHIPS

American University Law Review
American University Intellectual
Property Clinic (student attorney)
Business Law Society

