

Miranda Sturgis

Associate

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Miranda Sturgis focuses her practice on counseling investment companies and investment advisers in connection with various regulatory, compliance and transactional issues. She assists in the representation of clients in various investment management and securities law matters, including: preparing regulatory filings; drafting and reviewing registration statements and proxy solicitation materials; researching various securities and corporate law issues; and drafting corporate policies and compliance procedures. Her experience includes assisting in the representation of clients in matters such as the formation, registration and ongoing regulation of investment companies, including index-based and actively managed exchange-traded funds (ETFs) and obtaining exchange listing approvals for ETFs. Miranda also assists in the representation of clients in transactional matters, such as fund mergers and acquisitions.

Miranda received her J.D. from Villanova University School of Law, where she was a staff writer and an associate editor for the Villanova Law Review. During law school, she participated in the Villanova Civil Justice Clinic as a Certified Legal Intern.

RESULTS

- assisted a global asset management firm in launching of its first suite of strategic beta ETFs
- assisted an investment adviser in launching its first mutual funds, including converting three private funds into the newly created mutual funds
- assisted in the representation of various closed-end funds in connection with the offering of Variable Rate MuniFund Term Preferred Shares
- assisted in the representation of several leading global investment firms, including matters related specifically to the organization and registration of investment products, registration statement review and compliance, and the drafting of complex strategy and risk disclosure

SPEAKING ENGAGEMENTS

- Moderator, "SEC's ETF Rule," Women in ETFs Philadelphia

FOCUS

Investment Management
Registered Investment Companies
Exchange-Traded Funds (ETFs)

BAR ADMISSIONS

Pennsylvania

EDUCATION

J.D., *cum laude*, Villanova University
School of Law
B.B.A., *summa cum laude*, University
of Pennsylvania

MEMBERSHIPS

Women in ETFs – Philadelphia
Leadership Board

