

Miranda Sturgis

Partner

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Miranda Sturgis focuses her practice on counseling investment companies and investment advisers in connection with various regulatory, compliance and transactional issues. She assists in the representation of clients in various investment management and securities law matters, including preparing regulatory filings; drafting and reviewing registration statements and proxy solicitation materials; researching various securities and corporate law issues; and drafting corporate policies and compliance procedures. Her experience includes assisting in the representation of clients in matters such as the formation, registration and ongoing regulation of investment companies, including index-based and actively managed exchange-traded funds (ETFs) and obtaining exchange listing approvals for ETFs. Miranda also assists in the representation of clients in transactional matters, such as fund mergers and acquisitions.

Miranda received her J.D. from Villanova University School of Law, where she was a staff writer and an associate editor for the Villanova Law Review. During law school, she participated in the Villanova Civil Justice Clinic as a Certified Legal Intern.

RESULTS

- assisted global asset managers in launching index-based (including smart beta) and actively managed ETFs across all asset classes, including:
 - **Franklin Templeton** in launching its Franklin Bitcoin ETF, one of the industry's first spot bitcoin exchange-traded products (ETPs). The Franklin Bitcoin ETF issues shares backed by bitcoin held by the fund's custodian and is registered under the Securities Act of 1933
 - **Franklin Templeton** in the launch and ongoing operations of its suite of index-based (including smart beta) and actively managed ETFs
 - **Franklin Templeton** in the launch and ongoing operations of its Franklin Responsibly Sourced Gold ETF, a physically backed gold exchange-traded product (ETP) with an ESG focus that is registered under the Securities Act of 1933
 - **Dimensional Fund Advisors** in the launch and ongoing operations of its ETF product suite

FOCUS

Investment Management
Registered Investment Companies
Exchange-Traded Funds (ETFs)
Alternative Funds

BAR ADMISSIONS

Pennsylvania

EDUCATION

J.D., *cum laude*, Villanova University
School of Law
B.B.A., *summa cum laude*, University
of Pennsylvania

MEMBERSHIPS

Women in ETFs – Philadelphia
Leadership Board



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- **Allianz Investment Management**, a wholly-owned subsidiary of Allianz Life Insurance Company of North America and part of the U.S. arm of German multinational financial services group Allianz SE, in launching its ETF platform consisting of a suite of buffered outcome ETFs
- assisted in the representation of various closed-end funds in connection with the offering of Variable Rate MuniFund Term Preferred Shares
- assisted in the representation of several leading global investment firms, including matters related to the organization and registration of investment products, fund reorganizations, registration statement drafting and review, ongoing compliance and various corporate governance issues

SPEAKING ENGAGEMENTS

- Panelist, “The Move to T+1 Settlement,” Women in ETFs New York and Philadelphia
- Speaker, “Current Developments in Exchange-Traded Funds,” Practising Law Institute
- Moderator, “SEC’s ETF Rule,” Women in ETFs Philadelphia

RECOGNITIONS

- *With Intelligence*, Rising Star
- *Best Lawyers*, Ones to Watch

IN THE COMMUNITY

Miranda is on the Leadership Board of the Philadelphia Chapter of Women in ETFs, an organization that seeks to bring together people in the ETF industry to champion goals of equality, diversity, and inclusion; develop and sponsor talent; recognize and honor the achievements of women in the industry; and invest in the ETF community.

