

Michael P. Wallace

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Michael Wallace focuses his practice on counseling investment companies (including mutual funds, private funds, closed-end funds and exchange-traded funds) and investment advisers on regulatory, compliance, and transactional issues. He advises investment management clients in all aspects of legal representation, including drafting and reviewing registration statements, proxy solicitation materials, and other regulatory filings; researching various securities and corporate law issues; and preparing board materials.

Michael graduated from The Catholic University of America, Columbus School of Law, where he was a note & comment editor of the *Catholic University Law Review*. While in law school, he worked as a legal intern at the Securities and Exchange Commission. Michael graduated from James Madison University with a B.B.A. in finance.

FOCUS

Investment Management

BAR ADMISSIONS

Virginia

Practice limited to Federal Securities Law matters

EDUCATION

J.D., *cum laude*, The Catholic University of America, Columbus School of Law

B.B.A., James Madison University

