

# INVESTMENT MANAGEMENT

## BY THE NUMBERS



### Tier 1 Ranking in *The Legal 500* for Mutual/Registered/Exchange-Traded Funds

Stradley Ronon is praised for being "very well versed in all aspects of investment law, particularly as it relates to '40 Act mutual funds." Bruce Leto, a member of *The Legal 500's* Hall of Fame, and several other firm attorneys were also recommended for their work.



### 94 Year History

“ Maintains an impressive client list of fund complexes, fund managers and independent trustees, who benefit from the firm's skill, industry integration and nationwide presence when developing new products or approaching other registered funds concerns.”

Quote from *Chambers USA* about our investment management practice.



Fund Intelligence Operations & Services Awards 2019

Winner

Best Law Firm

Stradley Ronon Stevens & Young, LLP



US Mutual Fund Services and Technology Awards 2018

Winner 2018

Best Law Firm

Named by *Law360* as one of the 2017 Asset Management Groups of the Year.



I met with **Jack Bogle** and asked him if he could do the operations work of running a fund and charge us a fee, and he said, 'OK.' The first thing I needed was a good law firm. He told me to call Stradley Ronon (Stevens & Young) in Philadelphia, and that's how we got started.

Quote from Dimensional Fund Advisors Founder **David Booth** as told to *Pensions & Investments*.



**62** DEDICATED PROFESSIONALS  
10 former members of the SEC Staff

### 17 Investment Management Specialties

- 1940 Act Registered Funds
- Independent Directors/Trustees
- Investment Advisers
- Exchange-Traded Funds
- Closed-End Funds
- Business Development Companies (BDCs)
- Money-Market Funds
- Derivatives & CFTC Regulation
- Securities Enforcement/Litigation
- Taxation
- ERISA
- Fiduciary Governance
- Insurance Products
- Broker-Dealers
- Private Equity/Venture Funds
- Hedge Funds
- Alternative Funds

Representing over **1,500** separate funds, which collectively manage more than **\$2 trillion** in assets.

Filing more than **65** exemptive applications over the last **10** years.

### Named 2018 ETF Law Firm of the Year by ETF.com

This annual award goes to the law firm that has done the most to push the ETF industry forward, including driving new and innovative products through the Securities and Exchange Commission, advocating for the industry and the rights of investors, and improving outcomes for investors.



2018 AWARD WINNER

ETF LAW FIRM OF THE YEAR

# STRADLEY RONON INVESTMENT MANAGEMENT GROUP

**Bruce G. Leto, Co-Chair**  
Philadelphia  
bleto@stradley.com | 215.564.8115

**Matthew R. DiClemente, Co-Chair**  
Philadelphia  
mdiclemente@stradley.com | 215.564.8173

**John M. Baker**  
Counsel  
Washington  
jbaker@stradley.com  
202.419.8413

**Fabio Battaglia III**  
Partner  
Philadelphia  
fbattaglia@stradley.com  
215.564.8077

**Emily Taylor Brody**  
Partner  
Philadelphia  
ebrody@stradley.com  
215.564.8071

**Taurien Buffaloe**  
Associate  
Philadelphia  
tbuffaloe@stradley.com  
215.564.8741

**Jessica D. Burt**  
Partner  
Washington  
jburt@stradley.com  
202.419.8409

**Joel D. Corriero**  
Partner  
Philadelphia  
jcorriero@stradley.com  
215.564.8528

**Jana L. Cresswell**  
Partner  
Philadelphia  
jcresswell@stradley.com  
215.564.8048

**Sara P. Crovitz**  
Partner  
Washington  
scrovitz@stradley.com  
202.507.6414

**Brian Crowell**  
Associate  
Philadelphia  
bcrowell@stradley.com  
215.564.8082

**John "JJ" Dikmak Jr.**  
Associate  
Philadelphia  
jdikmak@stradley.com  
215.564.8025

**Gregory D. DiMeglio**  
Partner  
Washington  
gdimeglio@stradley.com  
202.419.8401

**Aliza S. Dominey**  
Associate  
Washington  
adominey@stradley.com  
215.564.6405

**Lisa A. Duda**  
Partner  
Philadelphia  
lduda@stradley.com  
215.564.8143

**Jacqueline S. Edwards**  
Counsel  
New York  
jedwards@stradley.com  
212.812.4142

**John M. Ekblad**  
Associate  
Chicago  
jekblad@stradley.com  
312.964.3506

**Ruth S. Epstein**  
Partner  
Washington  
repstein@stradley.com  
202.292.4522

**J. Stephen Feinour Jr.**  
Partner  
Philadelphia  
jfeinourjr@stradley.com  
215.564.8521

**Don E. Felice**  
Counsel  
Philadelphia  
dfelice@stradley.com  
215.564.8794

**Amy C. Fitzsimmons**  
Partner  
Philadelphia  
afitzsimmons@stradley.com  
215.564.8711

**Alison M. Fuller**  
Partner  
Washington  
afuller@stradley.com  
202.419.8412

**Alan R. Gedrich**  
Partner  
Philadelphia  
agedrich@stradley.com  
215.564.8050

**Jamie M. Gershkow**  
Associate  
New York  
jgershkov@stradley.com  
212.404.0654

**George Michael Gerstein**  
Counsel  
Washington  
ggerstein@stradley.com  
202.507.5157

**David P. Glatz**  
Partner  
Chicago  
dglatz@stradley.com  
312.964.3502

**Alan P. Goldberg**  
Partner-in-Charge  
Chicago  
agoldberg@stradley.com  
312.964.3503

**J. Patrick Green**  
Associate  
Washington  
jgreen@stradley.com  
202.507.5151

**Kenneth L. Greenberg**  
Partner  
Philadelphia  
kgreenberg@stradley.com  
215.564.8149

**Mark R. Greer**  
Partner  
Chicago  
mgreer@stradley.com  
312.964.3505

**David W. Grim**  
Partner  
Washington  
dgrim@stradley.com  
202.507.5164

**John P. Hamilton**  
Counsel  
New York  
john.hamilton@stradley.com  
212.404.0652

**Shawn A. Hendricks**  
Associate  
Philadelphia  
shendricks@stradley.com  
215.564.8778

**Cory O. Hippler**  
Partner  
Philadelphia  
chippler@stradley.com  
215.564.8089

**Peter M. Hong**  
Partner  
Washington  
phong@stradley.com  
202.419.8429

**Kristin H. Ives**  
Partner  
Philadelphia  
kives@stradley.com  
215.564.8037

**Cheri R. W. Johnson**  
Associate  
Philadelphia  
cjohnson@stradley.com  
215.564.8736

**Nicole M. Kalajian**  
Counsel  
Chicago  
nkalajian@stradley.com  
312.964.3507

**Barry L. Klein**  
Partner  
Philadelphia  
bklein@stradley.com  
215.564.8715

**Jonathan M. Kopcsik**  
Partner  
Philadelphia  
jkopcsik@stradley.com  
215.564.8099

**Stephen LaChine**  
Associate  
Chicago  
slachine@stradley.com  
312.964.3522

**Mena Larmour**  
Partner  
Philadelphia  
mlarmour@stradley.com  
215.564.8014

**Gabriella Leyhane**  
Associate  
Chicago  
gleyhane@stradley.com  
312.964.3508

**Cillian M. Lynch**  
Partner  
Washington  
clynch@stradley.com  
202.419.8416

**Michael D. Mabry**  
Partner  
Philadelphia  
mmabry@stradley.com  
215.564.8011

**Prufesh R. Modhera**  
Partner-in-Charge  
Washington  
pmodhera@stradley.com  
202.419.8417

**Michael W. Mundt**  
Partner  
Washington  
mmundt@stradley.com  
202.419.8403

**Linh H. Nguyen**  
Associate  
Philadelphia  
lnguyen@stradley.com  
215.564.8080

**Michael P. O'Hare**  
Partner  
Philadelphia  
mohare@stradley.com  
215.564.8198

**Claire Olivar**  
Associate  
Philadelphia  
molivar@stradley.com  
215.564.8681

**Jessica Patrick**  
Associate  
Washington  
jpatrick@stradley.com  
202.419.8423

**Eric S. Purple**  
Partner  
Washington  
epurple@stradley.com  
202.507.5154

**Rebecca A. Rodrigues**  
Associate  
Philadelphia  
rrodrigues@stradley.com  
215.564.8064

**David F. Roeber**  
Counsel  
Philadelphia  
droeber@stradley.com  
215.564.8179

**Christopher C. Scarpa**  
Partner  
Philadelphia  
cscarpa@stradley.com  
215.564.8106

**Michael E. Schapiro**  
Associate  
Washington  
mschapiro@stradley.com  
202.507.5163

**Mark A. Sheehan**  
Partner  
Philadelphia  
msheehan@stradley.com  
215.564.8027

**Nicole Simon**  
Partner  
New York  
nsimon@stradley.com  
215.564.8001

**Lawrence P. Stadulis**  
Partner  
Washington  
lstadulis@stradley.com  
202.419.8407

**Miranda Sturgis**  
Associate  
Philadelphia  
msturgis@stradley.com  
215.564.8131

**Pamela V. Wade**  
Counsel  
Philadelphia  
pwade@stradley.com  
215.564.8603

**Michael Wallace**  
Associate  
Washington  
mwallace@stradley.com  
202.507.6400

**Christopher J. Zimmerman**  
Partner  
Washington  
czimmerman@stradley.com  
202.419.8402

**Lauren Zychowicz**  
Associate  
Philadelphia  
lzychowicz@stradley.com  
215.564.8757