



# DIGITAL ASSET REGULATORY TRACKER

For the Investment Management Industry

December 12, 2022

A lot is happening in the world of digital assets regulation, and we know it is difficult to keep track of it all. That is why we built this digital assets regulatory tracker. Each month, we publish a list with links to digital asset-related materials issued by the SEC, CFTC, and DOL. Please click [here](#) if you would like to sign up to receive this monthly tracker via email.

## NOVEMBER 2022

### SEC

November 29, 2022

American CryptoFed DAO LLC

ALJ Orders: [AP:6886](#)

November 25, 2022

American CryptoFed DAO LLC

ALJ Orders: [AP:6884](#)

November 22, 2022

American CryptoFed DAO LLC

ALJ Orders: [AP:6882](#)

November 18, 2022

American CryptoFed DAO LLC

ALJ Orders: [AP:6881](#)

Administrative Proceedings: [33:11134](#)

November 18, 2022

[SEC Seeks to Stop the Registration of Misleading Crypto Asset Offerings](#)

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November 16, 2022

[Digital Assets: Putting Investors First: Remarks by Jaime Lizárraga](#)

November 7, 2022

SEC Granted Summary Judgment Against New Hampshire Issuer of Crypto Asset Securities for Registration Violations: *SEC v. LBRY, Inc.*, No. 1:21-cv-00260-PB (D.N.H. filed Mar. 29, 2021)

[Litigation Release](#)

November 4, 2022

SEC Charges Creator of Global Crypto Ponzi Scheme and Three US Promoters in Connection with \$295 Million Fraud

[Press Release](#)

[Litigation Release](#)

November 3, 2022

SEC Charges Creator of Global Crypto Ponzi Scheme and Three US Promoters in Connection with \$295 Million Fraud

[Securities and Exchange Commission v. Douver Torres Braga, Joff Paradise, and Keleionalani Akana Taylor](#),

No. 2:22-cv-01563 (W.D. Wash. filed Nov. 3, 2022):

[Securities and Exchange Commission v. Jonathan Tetreault](#), No. 2:22-cv-01567 (W.D. Wash. filed Nov. 3, 2022):

November 3, 2022

SEC Charges Promoter for Role in Fictitious Crypto Trading Program

[Litigation Release](#)

[Securities and Exchange Commission v. Costello et al.](#), No. 2:22-cv-01388 (W.D. Wash. Filed Sept. 29, 2022)

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## CFTC

November 30, 2022

[Keynote Remarks of Commissioner Christy Goldsmith Romero at the Futures Industry Association, Asia Derivatives Conference, Singapore: Protecting Against Emerging Global Fintech Threats in Cyberspace and Cryptocurrencies](#)

November 30, 2022

[Commissioner Goldsmith Romero to Deliver Keynote Address at the Futures Industry Association Asia Derivatives Conference](#)

November 30, 2022

[Chairman Behnam to Keynote at the Princeton DeCenter Inaugural Summit](#)

November 28, 2022

[Chairman Behnam to Participate in a Keynote Interview at the Crypto and Digital Assets Summit: Winter Edition](#)

November 18, 2022

[Commissioner Mersinger to Participate at the Texas Blockchain Summit](#)

November 17, 2022

[Commissioner Johnson to Participate in a Fireside Chat at the Institutional Digital Assets and Crypto Regulation Symposium](#)

November 17, 2022

[Commissioner Goldsmith Romero to Participate in a Virtual Fireside Chat at MoffettNathanson's 4th Annual Cryptocurrency & Blockchain Immersion](#)

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November 16, 2022

[Commissioner Pham to Speak on Panels at the Milken Institute Middle East and Africa \(MEA\) Summit](#)

November 15, 2022

[Commissioner Johnson to Deliver Keynote Address at the Stanford Crypto Policy Conference](#)

November 15, 2022

[Commissioner Pham to Participate in a Regulators Summit and Speak on a Panel at Abu Dhabi Finance Week](#)

November 14, 2022

[LedgerX Withdraws Clearing Application](#)

November 14, 2022

[Commissioner Johnson to Participate in a Panel Discussion at FIA Expo 2022](#)

November 14, 2022

[Chairman Behnam to Keynote at FIA Expo 2022](#)

November 14, 2022

[Commissioner Goldsmith Romero to Participate in a Panel Discussion at FIA Expo 2022](#)

November 9, 2022

[Commissioner Pham to Deliver Remarks at the First Annual Symposium of the Futures & Derivatives Law Report](#)

November 8, 2022

[Commissioner Johnson to Deliver a Keynote Address at the 3rd Annual National HBCU Blockchain and FinTech Conference](#)

November 4, 2022

[Statement of Commissioner Kristin N. Johnson Regarding CFTC Order Finding That Jeremy Rounsville of Hunt County Texas, Defrauded Customers in Digital Asset Arbitrage Scheme](#)

November 4, 2022

[Concurring Statement of Commissioner Christy Goldsmith Romero in \*CFTC v. Jeremy Rounsville\*](#)

November 4, 2022

[CFTC Penalizes and Permanently Bans Texan from Trading and Registration for Virtual Currencies Fraud](#)

November 4, 2022

[Chairman Behnam to Participate in a Conversation at the European Financial Forum: Financial Services in the Digital Age](#)

November 4, 2022

[Commissioner Pham to Speak on a Panel at Singapore FinTech Festival](#)

November 3, 2022

[Commissioner Johnson to Participate in a Fireside Chat at FMA's 31st Annual Legal & Legislative Conference](#)

November 2, 2022

[Chairman Behnam to Participate in a Conversation at the AIMA Global Policy & Regulatory Forum 2022](#)

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**DOL**

No entries.

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**ARCHIVES**

[October 2022](#)

[September 2022](#)

[August 2022](#)

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